

(LIBERTY TRUST COMPANY ACCOUNT NUMBER)

Use this form to authorize a Direct Rollover from an employer's retirement plan to your IRA with Liberty Trust Company, Ltd.

FOR PROCESSING, RETURN TO: IPS ♦ 8226 Douglas Avenue ♦ Suite 520 ♦ Dallas, Texas 75225-5927 ♦ 800-473-1977 ♦ 855-739-1987 FAX

1

ACCOUNT OWNER INFORMATION

Legal Name: _____ Date of Birth: _____

Address: _____ Soc. Sec. Number: _____

City, State, Zip: _____

Type of Account at Liberty Trust Company, Ltd. (check one):

☐ Traditional IRA ☐ Roth IRA ☐ SEP IRA ☐ This is also an Inherited IRA

2

CURRENT RETIREMENT PLAN INFORMATION

Name of Employer: _____ Contact Name at Employer: _____

Name of Distributing Plan: _____

Address: _____ Phone: _____

City, State, Zip: _____

Type of Plan: (check one) ☐ 401(k) ☐ Profit Sharing ☐ Pension ☐ 403(b) ☐ 457 ☐ Other _____

3

ROLLOVER INSTRUCTIONSI hereby authorize Liberty Trust Company, Ltd. to send the Direct Rollover Request to my existing custodian/trustee utilizing the following method: ☐ Regular Mail ☐ Overnight Mail (*additional \$35 fee applies*)

NOTICE: MOST PLAN ADMINISTRATORS, TRUSTEES, CUSTODIANS, ETC. WILL NOT COMPLETE A DIRECT ROLLOVER UPON RECEIPT OF THIS FORM ALONE. BEFORE COMPLETING THE DIRECT ROLLOVER REQUEST, YOU SHOULD CONTACT THE PLAN ADMINISTRATOR AND/OR TRUSTEE OR CUSTODIAN OF THE EMPLOYER'S RETIREMENT PLAN TO OBTAIN ANY DISTRIBUTION ELECTION FORMS REQUIRED BY THEM.

WARNING REGARDING LIQUIDATION ORDERS

Although you may request your current Custodian to liquidate some or all of your holdings into cash and transfer the cash to us, please be aware that **MANY CUSTODIANS WILL NOT HONOR THE LIQUIDATION REQUEST** and will return your rollover request to us. To avoid delays in processing, we strongly recommend that you verify that your account at your current Custodian has sufficient cash to allow for the rollover. This means that you may have to liquidate some or all of your holdings prior to the time this Direct Rollover Request is sent to your current Custodian/Trustee.

By signing this form, the Account Owner hereby authorizes the current Custodian/Trustee to rollover the assets noted below.

☐ **COMPLETE ROLLOVER**☐ Liquidate all assets and rollover proceeds to Liberty Trust Company, Ltd. Proceed to section 4.☐ Rollover all assets "in-kind" along with any cash balance to Liberty Trust Company, Ltd. Proceed to section 4.☐ **PARTIAL ROLLOVER**☐ Send \$ _____ in cash to Liberty Trust Company, Ltd.☐ Rollover the assets noted below "in-kind" to Liberty Trust Company, Ltd.

<u>Asset Name</u>	<u>Units</u>	<u>Price per Unit</u>	<u>Market Value</u>

4

DELIVERY INSTRUCTIONS☐ **Regular Mail****IPS**

8226 Douglas Avenue, Suite 520
Dallas, TX 75225

Make check payable to:

Liberty Trust Company, Ltd., Custodian
FBO: (client's name) IRA # _____

☐ **Overnight Mail****IPS**

8226 Douglas Avenue, Suite 520
Dallas, TX 75225

Make check payable to:

Liberty Trust Company, Ltd., Custodian
FBO: (client's name) IRA # _____

☐ **Wire** (additional \$25 fee applies)**Third Coast Bank SSB**

20202 Hwy 59 N Ste. 190
Humble, TX 77338

ABA Number: **13094149**

Liberty Trust Company, Ltd.

101 S. Reid St. Ste. 307
Sioux Falls, SD 57103

Acct. Number: **1000019370**

Ref: (client's name) IRA # _____

Asset Registration Instructions:

The registration / title for the investment and other related documents must reflect the name of Liberty Trust Company, Ltd., the name of the Account Owner, the account number, and Liberty Trust Company, Ltd.'s Tax ID Number.

Tax Identification Number: 90-0909159

Liberty Trust Company, Ltd., Custodian FBO: (client's name) IRA # _____

5

ACCOUNT OWNER ROLLOVER AUTHORIZATION AND SIGNATURE

I hereby agree to the terms and conditions set forth in this Direct Rollover Request and acknowledge that I have established an account of the type designated in section 1 of this form. I acknowledge that I understand the rules and conditions regarding Direct Rollovers and I certify that I qualify for the Direct Rollover of assets listed in the Rollover Instructions above and authorize such transactions. I certify that this Direct Rollover does not contain my Required Minimum Distribution. I irrevocably elect to designate this contribution of cash or other property as a Rollover Contribution.

I UNDERSTAND that if funds are wired to Liberty Trust Company, Ltd. (LTC), LTC will deduct the incoming wire fee from my account unless I have prepaid such fee.

I HEREBY AUTHORIZE the present Custodian to deliver my cash and/or assets as noted above.

Signature: **X** _____ Date: **X** _____

6

LETTER OF ACCEPTANCE BY LIBERTY TRUST COMPANY, LTD

Liberty Trust Company, Ltd. will accept the direct rollover described above as Successor Custodian and agrees to apply the proceeds, upon their receipt, to the account established on behalf of the Account Owner named above.

By: _____

Date: _____

Printed Name: _____

Title: Authorized Signer